



Attorney's Docket No.: 17084-018001 /636

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Gary De Jong, et al.

Art Unit : 1636

Conf. No.: 7635

Serial No.: 09/815.979

Examiner: Sullivan, Daniel M.

Filed

Title

: March 22, 2001

Cust. No. : 20985

: METHODS FOR DELIVERING NUCLEIC ACID MOLECULES INTO

CELLS AND ASSESSMENT THEREOF

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

### TRANSMITTAL LETTER

Transmitted herewith are a Supplemental Information Disclosure Statement (2 pages), Form PTO-1449 (1 page), cited references (two documents) and a Statement pursuant to 37 C.F.R. § 1.97 (e) (1 page) for filing in connection with the above-captioned application.

[X] The Commissioner is hereby authorized to charge any fee that may be due under 37 C.F.R. §§ 1.16-1.17 in connection with this paper or with this application during its entire pendency to Deposit Account No. 06-1050. A duplicate of this sheet is enclosed.

Respectfully submitted,

Stephanie L. Seidman

Reg. No. 33,779

Address all correspondence to:

Stephanie L. Seidman Fish & Richardson P.C. 12390 El Camino Real San Diego, California 92130

Telephone: (858) 678-4777 Facsimile: (202) 626-7796 Email: seidman@fr.com

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I hereby certify that this paper and the attached papers are being deposited with the United States Postal Services as "Express Mail" Label No. EV 471528410 (IS in an envelope addressed to: Commissioner for Patents, P.O. Box, 1450, Alexandria, VA 22313-1450.

4/22/04

Stephanie L. Seidman

Attorney's Docket No.: 17084-018001 (formerly 24601-416)

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# STATEMENT FOR SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE WITH 37 C.F.R. §1.97(e)

- 1) This Statement if being made for the Supplemental Information Disclosure Statement accompanying this Statement.
- 2) I, Stephanie Seidman, certify that each item of information contained in the accompanying Supplemental Information Disclosure Statement was cited in a communication dated January 23, 2004, from the Australian Patent Office in connection with Australian Patent Application No. 2002306877. Thus, each item of information was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the attached Supplemental Information Disclosure Statement.
- 3) The person making this Statement is an attorney of record in the abovecaptioned application and signs below on the basis of information in the attorney's file.

Respectfully submitted.

Stephanie L. Seidman Reg. No. 33,779

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Stephanie L. Seidman Fish & Richardson P.C. 12390 El Camino Real San Diego, California 92130 Telephone: (858) 678-4777 Facsimile: (202) 626-7796 Email: seidman@fr.com

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#### CERTIFICATION OF EXPRESS MAIL.

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4/22/04

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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Gary De Jong, et al. Art Unit: 1636

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## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

This Supplemental Information Disclosure Statement is filed pursuant to 37 C.F.R. §1.97(c) and includes a certification in accordance with 37 C.F.R. §1.97(e). The certification applies to all references listed on Form PTO-1449 (1 page), provided herewith. If no proper payment is enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal, or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account no. 06-1050.

In accordance with the duty of disclosure imposed by 37 C.F.R. § 1.56 to inform the Patent Office of all references known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant hereby provides this Supplemental Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§ 1.97-1.98. Form PTO-1449 (1 page) and copies of the cited documents are provided herewith.

The cited documents, listed on Form PTO-1449 and supplied herewith, are in the English language. Hence, in accordance with the requirements of 37 C.F.R. § 1.98, as amended effective March 16, 1992, no further explanation of the listed items is necessary.

Applicant also makes known to the Examiner the following U.S. applications which are commonly owned and/or have one or more inventors in common:

<u>U.S.S.N.</u>	Filing Date	Docket No.		
10/782,129	02/18/04	24601-4020		
10/808,689	03/25/04	24601-402P		

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Applicant: De Jong et al. Serial No.: 09/815,979

Filed : March 22, 2001

Cust. No. : 20985 Page : 2 of 2 Attorney's Docket No.: 17084-018001 (formerly 24601-416)

Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the references, singly or in combination thereof, is effective as prior art against the subject application. In accordance with 37 C.F.R. § 1.97(h), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(b) exists.

Applicant respectfully requests that the Examiner review the foregoing references and information and that they be made of record in the file history of the above-captioned application.

Respectfully submitted,

Stephanie L. Seidman Reg. No. 33,779

Address all correspondence to:

Stephanie L. Seidman Fish & Richardson P.C. 12390 El Camino Real San Diego, California 92130 Telephone: (858) 678-5070 Facsimile: (202) 626-7796

Email: seidman@fr.com

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Sheet <u>1</u> of <u>1</u>	<u> </u>
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Filing Date
If Appropriate

Class

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			partment of Commerce t and Trademark Office	F&R No. 17084-018001 Attorney's Docket No. 24601-416	Application No. 09/815,979
List of Patents and Publications for Applicant's Information Disclosure Statement		Applicant Gary De Jong, et al.			
(37 CFR §1.98	3(b))			Filing Date March 22, 2001	Group Art Unit 1636
			U.S. Patent	Documents	•
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Date

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner	Desig.	Document	Publication	Country or			Trans	slation
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
		NONE						

Patentee

	Other D	ocuments (include Author, Title, Date, and Place of Publication)
Examiner Initial	Desig. ID	Document
	AA	Ohse, et al., "A New and Efficient Method for Gene Transfer into Mouse FM3A Cells Using Metaphase Chromosomes by Electroporation," <i>Biosci. Biotech Biochem.</i> , 60 (11):1879-1811 (1996)
	AB	Wada, et al., "HPRT Yeast Artificial Chromosome Transfer into Human Cells By Four Methods and an Involvement of Homologous Recombination," <i>BIOCHEMICAL AND BIOPHYSICAL RESEARCH COMMUNICATIONS</i> , 200 (3):1693-1700 (1994)

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Initial

ID

Number

NONE

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if no	t in conformance and not considered. Include copy of this form with
next communication to applicant.	, , , , , , , , , , , , , , , , , , ,